

**DONG HAI BEN TRE
JSC.**

**SOCIALIST REPUBLIC OF VIETNAM
Independence - Freedom - Happiness**

No: 09/BC-CTĐH

Ben Tre, January 19, 2018

**REPORT ON CORPORATE GOVERNANCE OF LISTING COMPANY
YEAR OF 2017**

Respectfully addressed to: - **State Securities Commission of Vietnam**
- **Ho Chi Minh Stock Exchange**

- Name of listing Company: **Dong Hai Ben Tre Joint Stock Company (DOHACO)**
- Head office: Lot AIII, Giao Long Industrial Zone, An Phuoc Ward, Chau Thanh District, Ben Tre Province, Vietnam.
- Telephone: 0275 3635739 Fax: 0275 3635738
- Email: donghaibentre@yahoo.com.vn
- Charter capital: VND 344,597,690,000
- Listed name on stock market: DHC

I. Operations of the annual general meeting:

Information on meetings and Resolutions/Decisions of the General Meeting of Shareholders (including the resolutions of the General Meeting of Shareholders which adopted by the form of written comments):

No	Number Resolution / Decision	Date	Contents
01	01/NQ-ĐHĐCĐ	20/05/2017	<ul style="list-style-type: none">- Approve the report about result of business and activities in 2016 and the term 2012 – 2016.- Approve the 2016 Audited Financial Statements and profit distribution 2016.- Approve the remuneration and the bonus for the Board, Supervisory Board.- Approve the report of the Supervisory Board 2016.- Approve the plan of business activities for the term 2017 – 2021 and for the year of 2017.- Approve the profit distribution plan of 2017.- Approve the plan for Remuneration and the bonus for BOD, BOM, BOS of 2017.- Authorize the BOD to select a auditing firm for 2017 financial statements.- Approve the Adjustment Giao Long Paper Factory Project - Phase 2: Increase the total investment capital from 720 billion VND to 1.080 billion VND (+/- 5). AGM authorize BODs to implement the project and procedures.- Approve the content of the Proposal 03/TT-ĐHĐCĐ about issue the shares to pay dividends in 2016.- Approve the content of the Proposal 04/TT-ĐHĐCĐ about issue the shares for existing shareholders.- Approve the contents of Proposal no 05/TT-ĐHĐCĐ About Plan to issue the share under the program of option for employees.- Approve the List of Board of Directors for the term 2017 – 2021.- Approve the List of Supervisory Board for the term 2017 – 2021.- Approve the result to vote the Chairman and Vice Chairman for

			Board of Director for the term 2017 – 2021. - Approve the result to vote the Head for Board of Supervision for the term 2017 – 2021. - Approve Mr Le Ba Phuong – The Chairman of Board of Directors purrarity the General Manager of Dong Hai 2017.
--	--	--	---

II. Board of Directors:

1. Information about the Members of the Board of Directors:

No	BOD Members	Position	Starting date /No longer a member of the Board	Number of meetings attended	Percentage of attendance	Reasons for not attending meetings
01	Mr Le Ba Phuong	Chairman	05/03/2004	10	10/10	
02	Mr. Luong Van Thanh	Vice Chairman	21/06/2014	10	10/10	
03	Mr. Le Quang Hiep	Member	28/02/2007	10	10/10	
04	Mr. Nguyen Thanh Nghia	Member	26/04/2012	10	10/10	
05	Ms. Ho Thi Song Ngoc	Member	20/05/2017	4	4/4	Resignation from 20/05/2017
06	Mr. Tatsuyuki Ota	Member	25/06/2016	9	9/10	Absent 01 meeting
07	Mr Nguyen Phan Dung	Member	20/05/2017	6	6/6	Appointed from 20/05/2017

2. Supervising activities of the Board of Directors to the Board of Managers:

The supervision of the Board of Directors to the operation activities of General Manager is the regular and continuous activity. Board of Directors focuses on the supervision of the adherence of the regulations of Law on Enterprises, Company's regulations, statutes of the Company against the General Manager's operation activities, to supervise the plans, targets that set out by the annual general meeting and Board of Directors, plans of 2017 on markets, customers, sales of goods, financial using, arrangement of the Company's workforce, etc. In 2017, activities of the Company are stable and there are many positive changes.

3. Activities of the subcommittees of the Board of Directors: Currently, the Company is not establishing its subcommittees of the Board of Directors but the Board of Directors to appoint the members who are in charge of salary, bonus, workforce and investment of the company to support for the management of the Company.

4. Resolutions/Decisions of the Board of Directors:

No	Resolutions/Decisions No.	Date	Contents
01	01/NQ-HĐQT	16/01/2017	- Approve the report of business results in 2016 and the plan of Quarter 1/2017.
02	02/NQ-HĐQT	16/01/2017	- Approve the proposal No. 70 / TT-HĐQT dated 31/12/2016 about the salary unit price from 01/01/2017.
03	03/NQ-HĐQT	16/01/2017	- Approve the list of bonus Board of Management Company. - Approve the list of bonuses BOD & SB
04	04/NQ-HĐQT	16/01/2017	- Approve the policy to set up waste paper collecting stations.
05	05/NQ-HĐQT	16/01/2017	- Approve the plan to transfer 6 motors with capacity more than 450KW of the powder system from the 380V voltage to 6 motors with 3.3KV voltage.
06	06/NQ-HĐQT	16/01/2017	- Approve the amount construction of the main pile to the main factory.

07	07/NQ-HDQT	16/01/2017	- Approve to choose Duong Nhat Investment Construction and Environmental Technology Co.,Ltd as the contractor for waste water treatment plant of Giao Long Paper Factory – phase 2.
08	08/NQ-HDQT	19/01/2017	- Approve the amount construction of the main pile to the main factory. (Resolution 08/NQ-HDQT replaces Resolution 06/NQ-HDQT dated 16/01/2017)
09	09/NQ-HDQT	03/03/2017	- Approve to choose ABB Limited as the contractor to DCS, 22kV switchgear for SPE & PM - Giao Long Paper Factory – phase 2.
10	10/NQ-HDQT	03/03/2017	- Approve to choose ABB Limited as the contractor to transformer for Giao Long Paper Factory – phase 2.
11	11/NQ-HDQT	03/03/2017	- Approve to choose Andritz Technologies H.K. Limited as the contractor to the pump and motor of pump - Giao Long Paper Factory – phase 2.
12	12/NQ-HDQT	03/03/2017	- Approve to choose Huadong Paper Machinery Co., Ltd as the contractor to the auxiliary equipment - Giao Long Paper Factory – phase 2.
13	13/NQ-HDQT	03/03/2017	- Approve to choose Thuan Hai Corporation as the contractor to steam and electricity for Giao Long Paper Factory – phase 2.
14	14/NQ-HDQT	03/03/2017	- Approve to choose ABB Industry Pte Ltd (Singapore) as the contractor to MCC, Sectional drives for PM, motors, QCS and Dilution for Giao Long Paper Factory – phase 2.
15	15/NQ-HDQT	06/03/2017	- Approve the content of the meeting between Dong Hai Ben Tre and Descon dated 06/03/2017.
16	16/NQ-HDQT	24/03/2017	- Approve to have short-term borrowings for working capital at BIDV Bank – Ben Tre Branch according to The Business Plan of 2017
17	17/NQ-HDQT	15/04/2017	- Approve the report of business results of Q1/2017 and the plan of Q2/2017.
18	18/NQ-HDQT	17/04/2017	- Approve the plan to organize The Annual Shareholders' Meeting 2017.
19	19/NQ-HDQT	17/04/2017	- Approve to adjust the content of construction contract 09_XDCB_DCC2016 with Descon.
20	20/NQ-HDQT	05/05/2017	- Approve to adjust the content of construction contract 09_XDCB_DCC2016 with Descon.
21	21/NQ-HDQT	10/05/2017	- Approve the time and place to organize The Annual Shareholders' Meeting 2017
22	22/NQ-HDQT	10/05/2017	- Approve to have short-term borrowings for working capital at VietinBank – Bac Sai Gon Branch according to The Business Plan of 2017.
23	23/NQ-HDQT	10/05/2017	- Approve to choose Binh Nguyen Limited Company as the contractor to steel for Giao Long Paper Factory – phase 2.
24	24/NQ-HDQT	12/05/2017	- Approve the cost overrun of transformers of ABB for Giao Long Paper Factory – phase 2:
25	25/NQ-HDQT	12/05/2017	- Approve to choose Yokogawa Viet Nam Company Ltd as the contractor to the measuring equipment (paper machine) for Giao Long Paper Factory – phase 2.
26	26/NQ-HDQT	12/05/2017	- Approve to choose Minh Phat Co., Ltd as the contractor to construct the water chest 2500 m ³ for Giao Long Paper Factory – phase 2.
27	27/NQ-HDQT	12/05/2017	- Approve to adjust the content of construction contract 09_XDCB_DCC2016 with Descon.
28	28/NQ-HDQT	12/05/2017	- Approve the content to present for Annual General Meeting 2017.

29	29/NQ-HĐQT	19/05/2017	- Approve to adjust the Proposal of issuing share for employee (ESOP).
30	30/NQ-HĐQT	20/05/2017	- Approve the appointment of Mr Le Ba Phuong to be Chairman and Mr Luong Van Thanh to be Vice Chairman of the Board of Directors for the term 2017-2021.
31	31/NQ-HĐQT	20/05/2017	- To reappoint Mr Le Ba Phuong as General Manager, Mr Luong Van Thanh, Ms Ho Thi Song Ngoc as Vice General Manager, Ms Le Thi Hoang Hue as Chief Accountant for the term of 2017-2021 .
32	32/NQ-HĐQT	01/06/2017	- Approve to choose Topoac (China) as the contractor to pulp paper vavles for paper machine of Giao Long Paper Factory – phase 2.
33	33/NQ-HĐQT	01/06/2017	- Approve to choose Lingo Company Limited as the contractor to steam valve for paper machine of Giao Long Paper Factory – phase 2.
34	34/NQ-HĐQT	01/06/2017	- Approve to adjust the amount and unit price of steel for for Giao Long Factory Project – phase 2.
35	35/NQ-HĐQT	21/06/2017	- Approve the cost overrun of steam valves of Lingo Company Limited for paper machine of Giao Long Paper Factory – phase 2.
36	36/NQ-HĐQT	21/06/2017	- Approve price adjustment of Topoac (China) to pulp valve for paper machine of Giao Long Factory Project – phase 2.
37	37/NQ-HĐQT	21/06/2017	- Approve the cost overrun of the measuring equipment (paper machine) of Yokogawa Viet Nam Company Ltd for Giao Long Factory Project – phase 2.
38	38/NQ-HĐQT	21/06/2017	- Approve to choose Thien Phat Loc Construction Engineering Limited Company as the contractor to steel frame for main factory of Giao Long Paper Factory – phase 2.
39	39/NQ-HĐQT	21/06/2017	- Approve the plan of signing power supply contract for Giao Long Factory Project - Phase 2 with Ben Tre Power Company.
40	40/NQ-HĐQT	21/06/2017	- Approve to select Sai Gon Securities Incorporation (SSI) as a share issuance advisory company to pay 10% of dividend in 2016, issuing shares to existing shareholders and issuing shares under Employee Selection Program 2017.
41	41/NQ-HĐQT	21/06/2017	- Approve to adjust the content of construction contract 09_XDCB_DCC2016 with Descon.
42	42/NQ-HĐQT	21/06/2017	- Approve to sign the contract between Dong Hai Joint Stock Company of Ben Tre and Giao Long New Port Joint Stock Company
43	43/NQ-HĐQT	21/06/2017	- About implementation of issue the shares for dividend payment in 2016
44	44/NQ-HĐQT	21/06/2017	- Approve the documents of registration for offering shares to the public for existing shareholders
45	45/NQ-HĐQT	21/06/2017	- Approve the plan to issue the share under the program of option for employees
46	46/NQ-HĐQT	21/06/2017	- Approve to adjust about having the capital loan at Vietcombank – Tien Giang branch from 476 billions to 714 billions.
47	47/NQ-HĐQT	21/06/2017	- Approve the operation regulations and the assignment of tasks of Project Task Force for Giao Long Factory Project - Phase 2.
48	48/NQ-HĐQT	28/06/2017	- Approve to select Deloitte Vietnam Company Limited as the auditing firm of 2017 financial statements of Dong Hai Joint Stock Company of Ben Tre.
49	49/NQ-HĐQT	29/06/2017	- Approve to construction project of Giao Long Factory Project - Phase 2.
50	50/NQ-HĐQT	05/07/2017	- Approve to adjust the content of construction contract

			09_XDCB_DCC2016 with Descon.
51	51/NQ-HĐQT	15/07/2017	- Approve the business report of quarter 2/2017 and the plan for quarter 3/2017.
52	52/NQ-HĐQT	15/07/2017	- Approve to choose Yong Jia Yu (Viet Nam) Company as the contractor for machine installation of Giao Long Paper Factory – phase 2.
53	53/NQ-HĐQT	15/07/2017	- Approve the proposal to purchase the printer for Ben Tre Package Factory
54	54/NQ-HĐQT	15/07/2017	- Approve the successful rate for the offering of shares to existing shareholders.
55	55/NQ-HĐQT	19/08/2017	- Approve to adjust the scope of supplying steel, purlin,... for Giao Long Factory Project - Phase 2 of Thien Phat Loc Co., Ltd.
56	56/NQ-HĐQT	19/08/2017	- Approve the proposal to adjust the price of the printer for Ben Tre Package Factory
57	57/NQ-HĐQT	24/08/2017	- Approve to sign the contract to transport cargoes, machines, ... with Giao Long New Port Joint Stock Company for Giao Long Factory Project - Phase 2
58	58/NQ-HĐQT	31/08/2017	- Approve to choose Andritz Technologies H.K. Limited Company as the contractor for pipes and piping fittings of Giao Long Paper Factory – phase 2.
59	59/NQ-HĐQT	11/09/2017	- Approve the final registration date to make a list of shareholders.
60	60/NQ-HĐQT	17/10/2017	- Distribution of undistributed shares from the issue of ESOP 2017.
61	61/NQ-HĐQT	18/10/2017	- Approve to adjust the scope of supplies of construction contract 09_XDCB_DCC2016 with Descon.
62	61/NQ-HĐQT	02/11/2017	- Approve to choose Kobelco Compressors Viet Nam Co., Ltd as the contractor to compressors for Giao Long Paper Factory – phase 2.
63	62/NQ-HĐQT	02/11/2017	- Approve to choose Phan Vu Investment Corporation as the contractor to supply piles and press piles for warehouse of finished products of Giao Long Paper Factory – phase 2.
64	63/NQ-HĐQT	02/11/2017	- Approve to choose Phu An Khang Investment Construction Co., Ltd as the contractor to construct concrete floor for warehouse of finished products of Giao Long Paper Factory – phase 2.
65	64/NQ-HĐQT	02/11/2017	- Approve the business report of quarter 3/2017 and the plan for quarter 4/2017.
66	65/NQ-HĐQT	10/11/2017	- Distribution of undistributed shares from the offering to existing shareholders.
67	66/NQ-HĐQT	23/11/2017	- Approve to sign the contract to buy toles, steels,...with Dai Thien Loc Corporation.
68	67/NQ-HĐQT	23/11/2017	- Approve to choose BMB & A JSC as the contractor to steel frame for warehouse of finished products of Giao Long Paper Factory – phase 2.
69	68/NQ-HĐQT	15/12/2017	- Approve to amend the charter: increasing the charter capital from 293,428,490.000 VND to 344,597,690,000 VND.
70	69/NQ-HĐQT	28/12/2017	- Approve to have short-term borrowings for working capital at BIDV – Ben Tre Branch according to The Business Plan of 2017 - 2018
71	70/NQ-HĐQT	28/12/2017	- Approve to have short-term borrowings for working capital at Vietcombank – Tien Giang Branch according to The Business Plan of

			2017 – 2018.
72	71/NQ-HĐQT	28/12/2017	- Approve to adjust the medium & long - term borrowings at Vietcombank – Tien Giang Branch from 714 billion VND down to 656 billion VND.
73	72/NQ-HĐQT	28/12/2017	- Approve to sign the contract to rent the yard for storing raw materials with Giao Long New Port Joint Stock Company for Giao Long Factory Project - Phase 2
74	73/NQ-HĐQT	28/12/2017	- Approve to sign the contract for container transportation,... with Giao Long New Port Joint Stock Company.
75	74/NQ-HĐQT	28/12/2017	- Approve to sign the contract to buy toles, steels,...with Dai Thien Loc Corporation.
76	75/NQ-HĐQT	28/12/2017	- Approve to choose Dai Hung Cuong Services Trading Company Limited as the contractor to fire fighting and lightning protection system for Giao Long Paper Factory – phase 2.
77	76/NQ-HĐQT	28/12/2017	- Approve to choose R.E.E Electric Appliances Joint Stock Company as the contractor to heating, ventilation, air conditioning system for Giao Long Paper Factory – phase 2.
78	77/NQ-HĐQT	28/12/2017	- Approve to choose Vinh Khanh Technology & Trading Co., Ltd as the contractor to busway for Giao Long Paper Factory – phase 2.
79	78/NQ-HĐQT	28/12/2017	- Approve to transfer the contract to supply steam and electricity for Giao Long Paper - Phase 2 from Thuan Hai Corporation to Thanh Cong Energy Service Corporation.
80	79/NQ-HĐQT	28/12/2017	- Approve to choose QDE Co., Ltd as the contractor to power cables CADISUN for Giao Long Paper Factory – phase 2.

III. Supervisory Board:

1. Information on the members of Supervisory Board:

No	Supervisory Board Members	Position	Starting date /No longer a member of the Board	Number of meetings attended	Percentage of attendance	Reasons for not attending meetings
01	Mr Nguyen Huu Hieu	Head of Supervisory Board	26/04/2012	01	01/01	Resignation from 20/05/2017
02	Ms Doan Thi Bich Thuy	Head of Supervisory Board	20/05/2017	04	04/04	Appointed from 20/05/2017
02	Ms Doan Hong Lan	Member	26/04/2012	05	05/05	
04	Mr Nguyen Quoc Binh	Member	25/06/2016	05	05/05	

2. Activities of the Supervisory Board against the Board of Management, Board of Directors and Shareholders:

Reviewing the resolutions issued by Board of Directors related to the completion of the implementation of strategic goals and business plan approved by the 2017 General Meeting of Shareholders.

Reviewing the periodic reports from manufacturing, sales and accounting departments. Following the situation of the actual business activities and production, sales, business expenses, achieved profits.

Evaluating and commenting on the application of wage and reward policy for employees. Assessment of internal management processes, working process.

Join with Managing Board of Project on checking the implementation progress of the project and the capacity of construction contractors as well as equipment suppliers.

Checking the accounting records of the operating costs and procurement costs of the project.

Check contracts for purchasing paper materials, contracts for purchasing materials and spare parts.

3. The coordination among the Supervisory Board with the Board of Management, Board of Directors and different managers:

Regular discussion with the Board of Management, the Board of Directors about the production situation, business performance situation, the implementation of investment in Giao Long Factory Project - Phase 2, the the situation of fluctuations and recruitment management.

Participating in the inventory of first 6 months, last 6 months about fixed assets. Evaluation of warehouse management process, process of purchasing materials, spare parts and backup equipment.

Checking accounting records. Checking operating costs and evaluating business results for the year 2017. Reviewing the 2017 audit report.

4. Other activities of the Supervisory Board (If any): Not available

IV. Training on company governance:

Training courses on company governance which the members of Board of Management, Supervisory Board, Director (CEO), other managers and company secretary was attended with regulations on company governance.

V. List of related persons of listed company under the provisions of paragraph 34 of Article 6 of the Securities Law (Report of 6 months/year) and transaction of related persons of the company with the Company itself:

1. List of affiliated persons of the Company: (Attached list)

2. Transactions between the company and the affiliated persons or between the company and major shareholders, internal persons and related person of internal person.

On 21/06/2017, the BOD signed the Resolution No 42/NQ-HĐQT on approving the policy of signing contract between Dong Hai Joint Stock Company of Ben Tre and Giao Long New Port Joint Stock Company.

- On 22/06/2017, Dong Hai Joint Stock Company of Ben Tre and Giao Long New Port Joint Stock Company signed the contract to transport goods No 09/TCGL-DOHACO/2017.

- On 24/08/2017, Dong Hai Joint Stock Company of Ben Tre and Giao Long New Port Joint Stock Company signed the contract to transport Machines No 20_DHC_VTTTC-TCGL2017.

3. Transaction between internal person of listed company and company's subsidiaries, or the company in which listed company takes controlling power: None

4. Transactions between the company and other objects:

4.1. Transactions between the company and the company that members of Board of Management, members of the Supervisory Board, Director (CEO) has been a founding member or members of Board of Management, Director (CEO) in three (03) years (calculated at the time of reporting):

Resolution No 66/NQ-HĐQT dated 23/11/2017 and Resolution No 74/NQ-HĐQT dated 28/12/2017 about signing the contract between Dong Hai Joint Stock Company of Ben Tre and Dai Thien Loc Corporation.

- On 24/11/2017, Dong Hai Joint Stock Company of Ben Tre and Dai Thien Loc Corporation signed the contract to buy steel No 21_XDCB_DHC-DTL2017.

4.2. Transactions between the company and the company that related person of members of Board of Management, members of the Supervisory Board, Director (CEO) as a member of Board of Management, Director (CEO): None

4.3. Other transactions of the company (if any) may be beneficial material or immaterial for members of Board of Management, members of the Supervisory Board, Director (CEO): None

VI. Transactions of internal persons and related person of internal person

1. List of internal persons and their affiliated persons (Attached list)

2. Transactions of internal persons and affiliated persons on shares of the listed company

No	Transaction executor	Relationship with internal person	Number of shares owned at the beginning of the period		Number of shares owned at the end of the period		Reasons for increase, decrease (purchase, sale, switch, reward...)
			Shares	Proportion	Shares	Proportion	
01	DAIWA-SSIAM VIETNAM GROWTH FUND II L.P.	Major shareholder	3.781.250	14,78%	4.915.625	14,26%	Buy

02	Mr Le Quang Hiep	Major shareholder/ Member of Board of Management	2.123.550	8,30%	2.685.615	7,79%	Buy
03	KINGSMEAD VIETNAM AND INDOCHINA GROWTH MASTER FUND	Major shareholder	1.456.510	5,69%	2.413.463	7,00%	Buy
04	SSI Fund Management Co., Ltd	Major shareholder	1.718.750	6,72%	2.234.375	6,48%	Buy
05	Mr Le Ba Phuong	Major shareholder/ Chairman of Board	1.367.190	5,34%	1.972.347	5,72%	Buy
06	Ms Nguyen Thi Thuy	Related person of Mr. Le Ba Phuong	1.282.727	5,01%	1.950.944	5,66%	Buy
07	Mr Luong Văn Thanh	Major shareholder/ Vice Chairman of Board	1.368.000	5,35%	1.923.400	5,58%	Buy
08	Mr Nguyen Thanh Nghia	Member of Board of Management	600.153	2,35%	805.198	2,34%	Buy
09	Ms Ho Thi Song Ngoc	Vice General Manager	89.975	0,35%	68.006	0,20%	Sell
10	Ms Le Thi Hoang Hue	Chief Accountant	18.150	0,07%	48.595	0,14%	Buy
11	Mr Nguyen Phan Dung	Member of Board of Management	0	0%	25.000	0,07%	Buy
12	Mr Nguyen Quoc Binh	Member of Supervisory Board	66.695	0,26%	92.703	0,27%	Buy
13	Mr Nguyen Quoc Tuan	Related person of Mr. Nguyen Quoc Binh	42.900	0,17%	55.770	0,16%	Buy
14	Ms Doan Thi Bich Thuy	Head of Supervisory Board	0	0%	8.000	0,02%	Buy
15	Ms Doan Hong Lan	Member of Supervisory Board	0	0%	6.000	0,02%	Buy
16	Mr Le Minh Thanh	Related person of Ms. Doan Hong Lan	22.000	0,095%	30.000	0,09%	Buy

VII. Other significant issues:

- Vietnam Securities Depository - Ho Chi Minh City Branch has issued document No 1447/CNVSD-DK dated 20/03/2017 on the transfer of 6 million shares from conditional transferable shares to free transferable share .

- The company issued shares to pay dividend 2016. On October 19, 2017, Ho Chi Minh City Stock Exchange was issued the Decision No.371/QD-SGDHCM on the approval for the Company to issue more 2,558,246 shares. The Company has registered for the trading of these additional shares from the date of October 26, 2017.
- The company has successfully offered 1,200,000 shares under the program of option for employees for raising the fund to invest Giao Long Paper Factory, Phase 2. On December 06, 2017, Ho Chi Minh City Stock Exchange was issued the Decision No.448/QD-SGDHCM on the approval for the Company to issue more 1,200,000 shares. The Company has registered for the trading of these additional shares from the date of October 21, 2019.
- The company has successfully offered 5,116,920 shares to the public for existing shareholders for raising the fund to invest Giao Long Paper Factory, Phase 2. On December 11, 2017, Ho Chi Minh City Stock Exchange was issued the Decision No.455/ QD-SGDHCM on the approval for the Company to issue more 5,116,920 shares. The Company has registered for the trading of these additional shares from the date of December 18, 2017 and on December 14, 2018.



Lê Bá Phương



DONG HAI JOINT STOCK COMPANY OF BEN TRE

LIST OF RELATED PERSONS OF COMPANY AS SPECIFIED IN CLAUSE 34, ARTICLE 6 OF SECURITIES LAW

(Attached the Report on Corporate Governance of Listing Company for the year 2017)

No	Name	Securities trading account (if any)	Position at the company (if any)	ID card/Pass port No., date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons
1	DAIWA-SSIAM VIETNAM GROWTH FUND II L.P.					18/03/2016		
2	Công ty CP Tân Cảng Giao Long					12/08/2015		
3	Lê Bá Phương		Chairman – General Manager			05/03/2004		
4	Lương Văn Thành		Vice Chairman of BOD/ Deputy General Manager			21/06/2014		
5	Nguyễn Thanh Nghĩa		Member of BOD			26/04/2012		
6	Lê Quang Hiệp		Member of BOD			28/02/2007		
7	Tatsuyuki Ota		Member of BOD			25/06/2016		





No	Name	Securities trading account (if any)	Position at the company (if any)	ID card/Pass port No., date of issue, place of issue	Address	Time of starting to be affiliated person	Time of ending to be affiliated person	Reasons
8	Nguyễn Phan Dũng		Member of BOD			20/05/2017		Appointed
9	Nguyễn Hữu Hiệu		Head of Supervisory Board			26/04/2012	20/05/2017	Resignation
10	Đoàn Thị Bích Thúy		Head of Supervisory Board			20/05/2017		Appointed
11	Đoàn Hồng Lan		Member of SB			26/04/2012		
12	Nguyễn Quốc Bình		Member of SB			25/06/2016		
13	Hồ Thị Song Ngọc		Deputy General Manager			26/04/2012		
14	Lê Thị Hoàng Huệ		Chief Accountant/ Discloser			24/06/2013		



Lê Bá Phương

DONG HAI JOINT STOCK COMPANY OF BEN TRE

LIST OF INTERNAL PERSONS AND THEIR AFFILIATED PERSONS

(Attached Report on Corporate Governance of 2017)

No	Name	Securities trading account (if any)	Position at the company (if any)	No.*, date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
I	Lê Bá Phương		Chairman – General Manager			1.972.347	5,72%	
1.1	Lê Bá Cẩm		Father					
1.2	Nguyễn Thị Thạc		Mother					
1.3	Nguyễn Thị Vĩnh		Sister					
1.4	Nguyễn Thị Thu		Sister					
1.5	Lê Bá Đông		Brother					

No	Name	Securities trading account (if any)	Position at the company (if any)	No.*, date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
1.6	Nguyễn Thị Thủy		Wife			1.950.944	5,66%	
1.7	Lê Thủy Tiên		Daughter					
1.8	Lê Bá Hùng		Son					
2	Lương Văn Thành		Vice Chairman of BOD/ Deputy General Manager			1.923.400	5,58%	
2.1	Lương Văn Yên		Father					
2.2	Phạm Thị Miêu		Mother					
2.3	Võ Thị Trinh		Wife					
2.4	Lương Võ Quỳnh Như		Daughter					

No	Name	Securities trading account (if any)	Position at the company (if any)	No. *, date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
2.5	Lương Võ Quỳnh Anh		Daughter					
2.6	Lương Văn Nghiệp		Brother					
2.7	Lương Thị Phi		Sister					
2.8	Lương Thị Diệu		Sister					
3	Nguyễn Thanh Nghĩa		Member of BOD			805.198	2,34%	
3.1	Nguyễn Lộc		Father					
3.2	Nguyễn Thị Miên		Mother					
3.3	Nguyễn Thị Bích Liên		Wife					
3.4	Nguyễn Thanh Loan		Daughter					

No	Name	Securities trading account (if any)	Position at the company (if any)	No. *, date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
3.5	Nguyễn Thanh Dung		Daughter					
3.6	Nguyễn Thanh Trúc		Daughter					
3.7	Nguyễn Quang Quảng		Brother					
3.8	Nguyễn Thị Thu		Sister					
3.9	Nguyễn Ngải		Brother					
3.10	Nguyễn Thị Liên		Sister					
4	Lê Quang Hiệp		Member of BOD			2.685.615	7,79%	
4.1	Lê Thành Phụng		Father					

No	Name	Securities trading account (if any)	Position at the company (if any)	No. *, date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
4.2	Nguyễn Thị Thai		Mother					
4.3	Đỗ Thị Trang		Wife					
4.4	Lê Công Nghiệp		Son					
5	Tatsuyuki Ota		Member of BOD			0	0%	
5.1	Masako Ota		Mother					
5.2	Nobuyuki Ota		Brother					
5.3	Akiko Manfredi		Sister					
5.4	Sanac Ota		Wife					
5.5	Taisei Ota		Son					
5.6	Karen Ota		Son					

No	Name	Securities trading account (if any)	Position at the company (if any)	No.*, date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
6	Nguyễn Phan Dũng		Member of BOD			25.000	0,07%	Appointed from 20/05/2017
6.1	Công Ty TNHH Quản lý Quỹ SSI (Đại diện : Lê Thị Lệ Hằng)		Deputy General Manager			2.234.375	6,48%	
6.2	Công ty cổ phần Transimex		Member of BOD					
6.3	Công ty cổ phần Dược phẩm OPC		Member of BOD					
6.4	Nguyễn Xuân Thân		Father					
6.5	Phan Thanh Bích		Mother					
6.6	Nguyễn Thị Thùy Anh		Wife					
6.7	Nguyễn Phan Tuấn		Brother					
6.8	Nguyễn Phan Khôi		Son					
7	Đoàn Thị Bích Thủy		Head of Supervisory Board			8.000	0,02%	Appointed from 20/05/2017
7.1	Đoàn Minh Ngọc		Father					

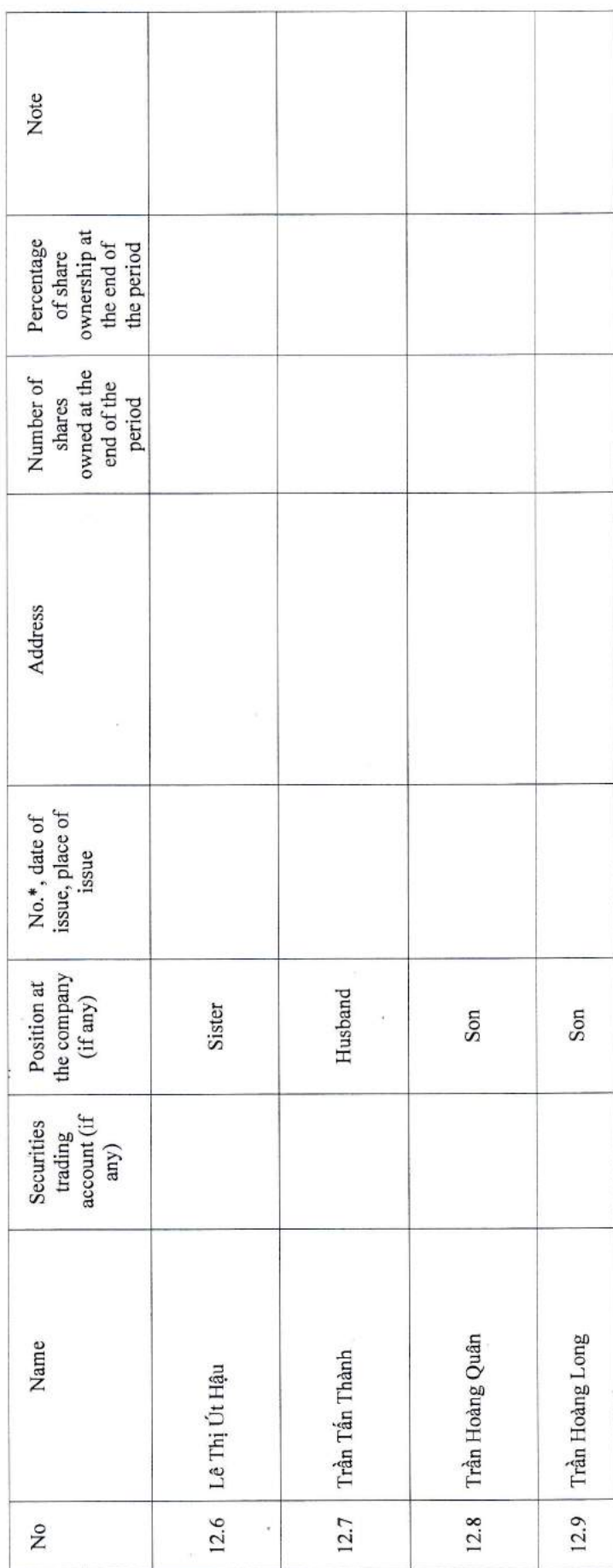
No	Name	Securities trading account (if any)	Position at the company (if any)	No. *, date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
7.2	Nguyễn Thị Bình		Mother					
7.3	Đoàn Tấn Phát		Brother					
7.4	Đoàn Minh Hoàng		Brother					
7.5	Đoàn Thị Mỹ Hằng		Sister					
8	Nguyễn Hữu Hiệu		Head of Supervisory Board			0	0%	Resignation from 20/05/2017
8.1	Nguyễn Hữu Dụng		Father					
8.2	Nguyễn Thị Tôn		Mother					
8.3	Trần Thị Thu Hương		Wife					
8.4	Nguyễn Hữu Thiện Tín		Son					

No	Name	Securities trading account (if any)	Position at the company (if any)	No. *, date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
8.5	Nguyễn Hữu Quốc Bình		Son					
8.6	Nguyễn Hữu Thiện Duyên		Brother					
8.7	Nguyễn Hữu Thiện Nhân		Brother					
8.8	Nguyễn Hữu Quốc Đạt		Brother					
8.9	Nguyễn Hữu Quốc Thịnh		Brother					
8.10	Nguyễn Thị Mai Thi		Sister					
9	Đoàn Hồng Lan		Member of SB			6.000	0,02%	

No	Name	Securities trading account (if any)	Position at the company (if any)	No. *, date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
9.1	Đoàn Hữu Tài		Father					
9.2	Nguyễn Thị Kim Nhu		Mother					
9.3	Đoàn Thị Minh Thi		Sister					
9.4	Lê Minh Thanh		Husband			30.000	0,09%	
9.5	Lê Minh Quân		Son					
9.6	Lê Minh Nhựt		Son					
10	Nguyễn Quốc Bình		Member of SB			92.703	0,27%	
10.1	Nguyễn Quốc Tuấn		Father			55.770	0,16%	
10.2	Nguyễn Thị Thu Hòe		Mother					
10.3	Nguyễn Thị Thu Trang		Sister					

No	Name	Securities trading account (if any)	Position at the company (if any)	No.*, date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
10.4	Trần Thị Lan Anh		Wife					
10.5	Nguyễn Quốc Bảo Minh		Son					
10.6	Nguyễn Trần Trang Anh		Daughter					
11	Hồ Thị Song Ngọc		Deputy General Manager			68.006	0,2%	
11.1	Hồ Văn Bé		Father					
11.2	Hồ Thị Kim		Mother					
11.3	Hồ Thị Xuân Thùy		Sister					
11.4	Hồ Công Diễn		Brother					
11.5	Hồ Phương Hải		Sister					

No	Name	Securities trading account (if any)	Position at the company (if any)	No. *, date of issue, place of issue	Address	Number of shares owned at the end of the period	Percentage of share ownership at the end of the period	Note
11.6	Võ Hà Diệp		Husband					
11.7	Võ Hồ Duy Phúc		Son					
12	Lê Thị Hoàng Huệ		Chief Accountant /Discloser			48.595	0,14%	
12.1	Lê Ngọc Sinh		Father					
12.2	Hoàng Thị Kính		Mother					
12.3	Lê Thị Hoàng Hạnh		Sister					
12.4	Lê Thị Hoàng Hà		Sister					
12.5	Lê Hoàng Hiếu		Brother					



THE CHAIRMAN OF BOD



Lê Bá Phương